

1 **Recoverability-driven coarticulation:**
2 **Acoustic evidence from Japanese high vowel devoicing**

3 Running title: *Recoverability and Japanese high vowel devoicing*

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Abstract

10 High vowel devoicing in Japanese, where /i, u/ in a C_1VC_2 sequence devoice when both C_1 and
11 C_2 are voiceless, has been studied extensively, but factors that contribute to the devoiced vowels'
12 likelihood of complete deletion is still debated. This study examines the effects of phonotactic
13 predictability on the deletion of devoiced vowels. Native Tokyo Japanese speakers (N=22) were
14 recorded in a sound-attenuated booth reading sentences containing lexical stimuli. C_1 of the stimuli
15 were /k, f/, after which either high vowel can occur, and /tʃ, ɸ, s, ç/, after which only one of the two
16 occurs. C_2 was always a stop. C_1 duration and center of gravity (COG), the amplitude weighted
17 mean of frequencies present in a signal, were measured. Duration results show that devoicing
18 lengthens only non-fricatives, while it has either no effect or a shortening effect on fricatives.
19 COG results show that coarticulatory effects of devoiced vowels are evident in /k, f/ but not in
20 /tʃ, ɸ, s, ç/. Devoiced high vowels, therefore, seem to be more likely to delete when the vowel is
21 phonotactically predictable than when it is unpredictable.

22 PAC Number(s): 43.70.Fq, 43.70.Mn

23 I. INTRODUCTION

24 A. Background

25 The current study investigates the effects of recoverability—by way of phonotactic
26 predictability—on the likelihood of vowel deletion as a consequence of the process of high vowel
27 devoicing in Japanese. High vowel devoicing is considered to be an integral feature of standard
28 modern Japanese (Imai, 2010), so much so that dictionaries exist with explicit instructions for
29 devoicing environments (Kindaichi, 1995, pp.25–27). High vowel devoicing is typically
30 described as involving phonemically short high vowels /i/ and /u/, which lose their phonation in
31 C_1VC_2 sequences when the vowels are unaccented and both C_1 and C_2 are voiceless obstruents.
32 For example, while the /u/ in /kúji/ ‘free use’ and /kufi/ ‘skewer’ are both between two voiceless
33 obstruents, only /kufi/ ‘skewer’ undergoes devoicing because the vowel is unaccented. Likewise,
34 the /u/ is unaccented in both /kuki/ ‘stem’ and /kugi/ ‘nail’, but only /kuki/ ‘stem’ undergoes
35 devoicing because the /u/ is flanked by two voiceless stops. The likelihood of devoicing depends
36 largely on the manner of the flanking consonants, where devoicing rates can be as low as 60%
37 between two fricatives or between an affricate C_1 and a fricative C_2 , but can be nearly 100%
38 elsewhere (Maekawa and Kikuchi, 2005; Fujimoto, 2015). Although not the focus of this study,
39 accented high vowels and non-high vowels can also devoice between voiceless obstruents but at
40 much lower rates (<25%; Maekawa and Kikuchi, 2005), and unaccented high vowels optionally
41 also devoice utterance finally after a voiceless fricative or affricate.

42 Despite the productivity of high vowel devoicing in Japanese and the amount of interest the
43 phenomenon received in phonetics and phonology, there still is debate over whether the devoicing
44 process results in only the loss of laryngeal adduction as the name suggests or can lead to
45 complete deletion of the vowel through additional loss of the lingual and labial gestures associated
46 with the vowel. The lack of consensus regarding how much of the vowel gestures is lost as part of
47 the process stems in part from a lack of terminological, theoretical, and experimental consistency.
48 Since there is disagreement on how much of the target high vowels is lost, the current study
49 henceforth will use the term *unphoned* to refer to cases where phonation is lost but oral gestures

50 of the vowel remain and *deleted* for cases when both phonation and oral gestures of the vowel are
51 lost. The traditional term *devoicing* will be used to encompass both possibilities.

52 Theoretically, high vowel devoicing is assumed to be a postlexical process (Hirayama,
53 2009), which applies after lexical processes such as *rendaku*¹ (Ito and Mester, 2003) and
54 structural processes such as syllabification and phonotactic evaluation (Boersma, 2009; Hayes,
55 1999; Zsiga, 2000). This is based on the observation that both underlying and epenthetic high
56 vowels are targeted for devoicing, as exemplified by the CV sequence /ki/ in the Sino-Japanese
57 compounds in (1) below. In (1a), the vowel /i/ is underlyingly present, whereas in (1b), the
58 vowel is epenthetic (Ito, 1986; Ito and Mester, 2015; Kurisu, 2001; Tateishi, 1989). Because high
59 vowel devoicing applies after phonotactic repairs, phonotactic constraints do not evaluate
60 devoiced sequences, making both unphonated and deleted high vowels acceptable surface forms.

- 61 (1) a. |ki+tai| → /ki.tai/ → [k_i̥tai] ‘expectation (time period+wait)’
62 b. |tek+tai| → /te.ki.tai/ → [teki̥tai] ‘hostility (enemy+toward)’

63 This study aims to test the hypothesis that the choice between deletion and unphonating is
64 dependent on the vowel’s recoverability (Varden, 2010). Recoverability refers to the ease of
65 accessing the underlying form (i.e., stored mental representations) from a given surface form (i.e.,
66 actual, variable output signals; Mattingly, 1981; McCarthy, 1999; Chitoran et al., 2002), as in
67 when accessing /kæt/ ‘cat’ from [kæt̚, kæt^h], for example. Recoverability comes largely from two
68 sources: perceptibility of articulatory cues present in the acoustic signal or predictability based on
69 linguistic knowledge, such as phonotactics. However, recoverability can be compromised if
70 neither perceptibility nor predictability is sufficient. Varden (2010) states what seems to be a
71 prevalent assumption in the Japanese high vowel devoicing literature, which is that since high
72 vowels trigger allophonic variation on preceding /t, s, h/ (i.e., /t/ → [tʃi, tsu]; /s/ → [ʃi, su]; /h/ →
73 [çi, φu]), the underlying vowel is easily recoverable even if the vowel were to be phonetically
74 deleted because the devoiced vowel is predictable in these contexts. For example, [φku] can only
75 be analyzed as /huku/ ‘clothes’ because [φ_k] is a devoicing context, where the vowel to be

76 recovered can only be one of /i, u/, and the mere presence of [ϕ] narrows the choice down to /u/
77 because [ϕ] can only occur as an allophone of /h/ preceding /u/. Because the context alone is
78 sufficient for recovery, retaining oral gestures of the devoiced vowel to increase its perceptibility
79 (e.g., [ϕ̥uku]) does little to improve recoverability. What Varden is proposing then is that a
80 devoiced vowel is more likely to be deleted when phonotactic predictability is high, which also
81 leads to the reverse prediction that a devoiced vowel is less likely to delete if phonotactic
82 predictability is low.

83 A number of studies have proposed similar recoverability-conditioned coarticulation, where
84 speakers seem to preserve or enhance the phonetic cues of a target segment in situations where
85 the target segment would be less perceptible, such as when a phoneme inventory contains
86 acoustically similar phonemes (Silverman, 1997) or in word-initial stop-stop sequences, where
87 the closure of the second stop would obscure the burst of the first (Chitoran et al., 2002).
88 However, whether C₁V coarticulation is similarly modulated by phonotactic predictability in
89 Japanese has not been tested systematically.

90 **B. Previous studies**

91 There are primarily three ways in which devoiced high vowels are argued to be manifested
92 acoustically: (i) by lengthening the burst/frication noise of C₁ (Han, 1994), (ii) by unphonating
93 the vowel and coloring the C₁ burst/frication noise with the retained oral gestures without
94 necessarily lengthening C₁ (Beckman and Shoji, 1984), and (iii) by deleting the vowel altogether
95 (Vance, 2008). Each of the proposed manifestations has contradicting evidence in previous
96 literature as discussed below.

97 Although it is commonly argued that C₁ is longer in devoiced syllables than in voiced
98 syllables, the empirical evidence is not unanimous. Part of the problem in the lack of consensus
99 regarding the effects of vowel devoicing on C₁ duration in Japanese is that there are differences in
100 the methodologies and stimuli among the studies. While lengthening effects are reported for all
101 consonant manners (Kondo, 1997), when no effect is found, it is generally studies that focus on
102 fricatives. For example, Varden (1998) examines /k, t/ (where /t/ → [t̥i, tsu]) and reports that the

103 burst and aspiration of C₁ in devoiced syllables are significantly longer than the consonant portion
104 of their corresponding voiced CV syllables. On the other hand, studies that focus on /s/ (→ [ʃi,
105 su]); Beckman and Shoji, 1984; Faber and Vance, 2000) often report a lack of lengthening effect.

106 Additionally, studies that report lengthening effects generally assume that Japanese is
107 mora-timed and that moras are roughly equal in duration. Based on these assumptions, the
108 duration results of individual C₁ are often collapsed (Tsuchida, 1997; Nielsen, 2008), C₁ in
109 devoiced contexts are compared to different segments in voiced contexts (Han, 1994), or the same
110 segments from the same words that optionally devoice are compared to each other (Kondo, 1997).
111 These practices are justified if moras in Japanese are indeed equal in duration, but as Warner and
112 Arai (2001a,b) argue, the apparent rhythm in Japanese and the compensatory lengthening effect in
113 relation to mora-timing might be epiphenomenal, stemming from a confluence of factors that
114 result from the phonological structure of Japanese.

115 While it is conceptually plausible that the presence of an underlying vowel can be signaled
116 solely by C₁ lengthening, especially if mora preservation is the reason behind it, much of the
117 literature arguing for compensatory lengthening also reports formant-like structures, suggesting
118 that the vowel is not completely deleted. A number of articulatory studies looking at /k, t, s/ as C₁
119 found that the glottis is wider when the vowel in a C₁VC₂ sequence is devoiced than when it is
120 not, and that there is only one activity peak for the laryngeal muscles aligned with the onset of C₁
121 in devoiced sequences, resulting in a long frication or a frication-like burst release for stops
122 (Fujimoto et al., 2002; Tsuchida et al., 1997; Yoshioka et al., 1980). Since there is no laryngeal
123 activity associated with C₂ apart from the carry-over from C₁ and because the abduction peak for
124 the glottis was found to be larger than the sum of two voiceless consonants, these results are
125 interpreted to mean that the glottal gesture is being actively controlled to spread the feature
126 [+spread glottis] from the first consonant to the second. As a consequence of this spreading, the
127 intervening high vowel is devoiced. Despite the lack of a laryngeal gesture associated with
128 phonation, presence of formant-like structures in the burst/frication noise of C₁ is often reported,
129 which is taken as evidence of retained oral vowel gestures. For example, an acoustic study by

130 Varden (2010) reports visible formant structures apparent in the fricated burst noise of [k̥, k̥],
131 which are interpreted to be the result of oral gestural overlap that allows consistent identification
132 of the underlying devoiced vowel.

133 In contrast, Ogasawara (2013) reports a lack of visible formant structures in the
134 burst/frication noise of /k, t/ in most devoiced cases and argues that this provides support for the
135 claim that high vowel devoicing results in deletion rather than unphonating (Hirose, 1971;
136 Yoshioka, 1981). The lack of apparent formant structures in the burst/frication noise of C₁,
137 however, seems to be an inadequate criterion for measuring the presence of vocalic oral gestures.
138 While Beckman and Shoji (1984) also report inconsistent presence of formant-like structures on
139 the frication noise of /ʃ/, spectral measurements of [ʃ] showed a small yet noticeable influence of
140 devoiced vowels on the aperiodic noise of the preceding fricative, where the mean frequency of
141 [ʃ_u] was lower than [ʃ_i] by approximately 400 Hz, suggesting a coarticulatory effect of an
142 unphonated vowel. Perceptually, this difference was enough to aid the listeners in identifying the
143 underlying vowel above the rate of chance (77% for [ʃ_i] and 67% for [ʃ_u]). Similar sensitivity to
144 /ʃV/ coarticulation in Japanese listeners is also reported by Tsuchida (1994).

145 **C. Predictability and coarticulation**

146 The current study uses /tʃ, s, ç, φ/ as C₁ with high phonotactic predictability and /k, ʃ/ as C₁
147 with low phonotactic predictability. Although /ʃ, tʃ/ are more accurately alveopalatal consonants
148 (i.e., /ç, tʃ/), the palatoalveolar symbols are used throughout the current study to make /ʃ/ more
149 visually distinct from /ç/ and to make /tʃ/ consistent in place with /ʃ/. The bilabial stop /p/ is
150 excluded because it rarely occurs word-initially, and the affricate [tʃ] is also excluded to keep the
151 number of stimuli balanced between high and low predictability tokens.

152 There are two things to note regarding the chosen consonants. First, segments that were
153 traditionally regarded as allophones are being used more phonemically in Japanese today. For
154 example, although [tʃ] and [φ, ç] are allophones of /t, h/, respectively, before high vowels in native
155 Japanese words, they are used phonemically in Sino-Japanese and loanwords. Minimal loan pairs
156 such as [tia:] ‘tier’ and [tʃia:] ‘cheer’ show that [t, tʃ] can contrast on the surface before /i/,

157 suggesting that words like ‘cheer’ contain an underlying /tʃ/ that surfaces faithfully, rather than an
158 underlying /t/ that undergoes allophony. Additionally, /ɸ/ still neutralizes with /h/ before /u/, but
159 /ɸ/ can precede every vowel of Japanese in loanwords (e.g., /ɸiN, ɸesu, ɸaʃʃoN, ɸoro:, ɸuri:/ ‘fin,
160 fes(tival), fashion, follow(-up), free(lance)’). /ç/ also neutralizes with /h/ before /i/, but can
161 precede all vowels except /e/ in both Sino-Japanese and loan words. Furthermore, /s/ is typically
162 thought to neutralize with /ʃ/ before /i/, but as the predictability analysis below will show, [si]
163 does occur on the surface, although it is still quite rare. Therefore, the current study regards /tʃ, s,
164 ç, ɸ/ as phonemes that have extremely skewed phonotactic distributions that lead to higher levels
165 of predictability.

166 Second, voiced and voiceless velar stops coarticulate with a following /i/ in Japanese
167 (Maekawa, 2003; Maekawa and Kikuchi, 2005), as is often the case crosslinguistically. The
168 question that remains unanswered, however, is whether the coarticulation leads to a categorical
169 change of the consonants to neutralize with the phonemically palatalized velar stops of Japanese
170 (e.g., /ki, kʲi/ → [kʲi]) or a relative fronting of the velar stops (e.g., /ki/ → [k̟i]). Spectral analyses
171 have shown that the stop burst in /ki/ is significantly higher in frequency than /ku/ even in
172 devoiced tokens (Kondo, 1997; Varden, 2010), suggesting either that velar fronting is categorical
173 (i.e., /ki/ → [kʲi]) or that the underlying consonant is simply different (i.e. /kʲi/ vs. /ku/). However,
174 perhaps due to the influence of Japanese orthography, the velar stops in [kʲi, ku] tend to be
175 grouped together as /k/ when phonotactic distributions are calculated, making them distinct from
176 the phonemically palatalized /kʲ/ as in /kʲa, kʲu, kʲo/ (Tamaoka and Makioka, 2004; Shaw and
177 Kawahara, 2017). The current study follows the latter studies, grouping /ki, ku/ together for the
178 purposes of calculating phonotactic predictability, but revisits this issue in Section IV after the
179 acoustic results are analyzed.

180 **1. Measuring predictability**

181 Predictability is quantified using two Information-Theoretic (Shannon, 1948) measures:
182 *surprisal*, which indicates how unexpected a vowel is after a given C_1 , and *entropy*, which
183 indicates the overall level of uncertainty in a given context due to competition amongst other

184 possible vowels. If an unexpected vowel (high surprisal) occurs in an uncertain environment (high
185 entropy), the vowel is difficult to predict. Conversely, a vowel with low surprisal occurring in a
186 low entropy environment is easy to predict. Both measures are calculated based on the conditional
187 probabilities of vowels after a given consonant, which can be written as $\Pr(v | C_{1_})$, which
188 means the probability of vowel v occurring after consonant C_1 . So for example, $\Pr(u | s_)$ would
189 be calculated as the frequency of /su/ divided by the frequency of /sV/ (any vowel after /s/).

190 Surprisal is the negative \log_2 probability. The log transform turns the probability into bits,
191 which indicates the amount of information (or effort) necessary to predict a vowel. The equation
192 for surprisal is given below.

$$\text{Surprisal: } -\log_2 \Pr(v | C_{1_})$$

193 Entropy is the weighted average of surprisal in a given context. The untransformed
194 probability of vowel v in context $C_{1_}$ serves as the weight for the surprisal of the same vowel and
195 context. The equation for entropy calculations is given below.

$$\text{Entropy (H): } \sum \Pr(v | C_{1_}) * (-\log_2 \Pr(v | C_{1_}))$$

196 When given a C_1C_2 sequence with no apparent intervening vowel, experience with high
197 vowel devoicing informs the Japanese listener that the most likely candidates for vowel recovery
198 must be /i, u/ because non-high vowels and long vowels typically do not devoice. There is no
199 upper bound to surprisal, but the theoretical maximum of entropy (highest uncertainty) in any
200 given consonantal context with two possible vowels is 1.000 ($-\log_2 p(0.5)$), where both vowels
201 occur with equal probabilities ($1/2 = 0.5$).

202 Below in Table I are entropy and surprisal measures calculated from the “Core” subset of
203 the Corpus of Spontaneous Japanese (Maekawa, 2003; Maekawa and Kikuchi, 2005) for the
204 consonants included in the current study.

TABLE I: C_1 consonants used in stimuli with overall entropy and surprisal of /i, u/. Ordered from highest to lowest entropy.

	IPA	Entropy	Surprisal /i/	Surprisal /u/
low predictability	k	9.998e-01	0.979	1.021
	ʃ	0.555	0.199	2.955
high predictability	ϕ	0.123	5.903	0.024
	s	0.042	7.762	0.007
	tʃ	0.013	0.002	9.768
	ç	0.008	0.001	10.653

205 None of the entropy and surprisal values are at zero across all environments, meaning both /i, u/
 206 occur after each C_1 . However, there are notable differences between /k, ʃ/ and /ϕ, s, tʃ, ç/. First,
 207 the entropy is near-zero for /ϕ, s, tʃ, ç/, which means that given any of these C_1 , there is essentially
 208 no uncertainty regarding the vowel that will follow. This is not true for /k, ʃ/, however, where
 209 entropy is closer to the maximum of 1.000 than to the minimum of 0.000. Second, surprisal
 210 values for /u/ following /ϕ, s/ and for /i/ following /tʃ, ç/ are also near-zero because the high
 211 vowels occur with frequencies greater than 0.980. While there are differences between /i, u/
 212 surprisal values in the /k, ʃ/ contexts as well, the differences are not as large. In the case of /k/, /i,
 213 u/ have approximately the same relative frequencies (0.507 vs. 0.493, respectively), and while /i/
 214 is the more frequent vowel after /ʃ/, /u/ still occurs with a non-negligible frequency of 0.129.
 215 Together, the entropy and surprisal calculations show that devoiced high vowels can be predicted
 216 with near-absolute certainty after /ϕ, s, tʃ, ç/ but not after /k, ʃ/.

217 **2. Possible effects of predictability on coarticulation**

218 There are three main possibilities with respect to the question of how predictability affects
 219 devoiced vowels. The first is that high vowel devoicing is blind to predictability and is driven
 220 primarily by Japanese phonotactics, which has a strict CVCV structure that disallows
 221 tautosyllabic clusters (Kubozono, 2015). If this is the case, then no difference between low
 222 predictability and high predictability C_1 would be found, where the devoiced vowel does not
 223 delete but becomes unphonated instead, coloring the burst or frication noise of C_1 to signal the
 224 presence of the target vowel (Beckman and Shoji, 1984; Varden, 2010). The second is that the

225 choice between deletion and unphonating is not systematic but rather a consequence of how the
226 devoiced vowel happened to be lexicalized for the speaker. Ogasawara and Warner (2009) found
227 in a lexical judgment task that when Japanese listeners were presented with voiced forms of
228 words where devoicing is typically expected, reaction times were longer than when presented
229 with devoiced forms. This suggests that the devoiced forms, despite their phonotactic violations,
230 can have a facilitatory effect on lexical access due to their commonness, making vowel recovery
231 unnecessary (Cutler et al., 2009; Ogasawara, 2013). The third and last option, which this study
232 proposes, is that high vowel devoicing is constrained by recoverability. In this case, the presence
233 of the devoiced vowel would be observable either by lengthening or spectral changes of C_1
234 burst/frication when the predictability of the target vowel is unreliable from a given C_1 to aid
235 recovery from the coarticulatory cues as in the case of /k, ʃ/, but not when predictability is high, as
236 in the case of /tʃ, s, φ, ç/. This last outcome would also be compatible with the idea that devoiced
237 forms are lexicalized as such (Ogasawara and Warner, 2009), but with the caveat that whether the
238 vowel is unphonated or deleted is dependent on predictability from context.

239 While this study does not explore sociolinguistic factors that affect high vowel devoicing, it
240 is worth noting that men have been reported to devoice more than women (Okamoto, 1995) and
241 that devoicing rates are higher overall in younger speakers (Varden and Sato, 1996). However,
242 Imai (2010) found that while younger speakers did tend to devoice more, this was only true for
243 men. Young female speakers were actually shown to devoice the least among all age groups.
244 Based on these findings, Imai proposes that high vowel devoicing might be being utilized actively
245 as a feature of gendered speech. If high vowel devoicing is being utilized as a sociolinguistic
246 feature, then the process could not be a purely phonological or phonetic process, and thus a
247 balanced number of men and women were recruited to investigate any gender-based differences.

248 **II. MATERIALS AND METHODS**

249 **A. Participants**

250 Twenty-two monolingual Japanese speakers (12 women and 10 men) were recruited in

251 Tokyo, Japan. All participants were undergraduate students born and raised in the greater Tokyo
 252 area and were between the ages 18 and 24. Although all participants learned English as a second
 253 language as part of their compulsory education, none had resided outside of Japan for more than
 254 six months and have not been overseas within a year prior to the experiment. All participants
 255 were compensated for their time.

256 B. Materials

257 The stimuli for the experiment were 160 native Japanese and Sino-Japanese words with an
 258 initial C_1iC_2 or C_1uC_2 target sequence. The stimuli were controlled to be of medium frequency
 259 (20 to 100 occurrences, which is the mean and one standard deviation from the mean,
 260 respectively) based on the frequency counts from a corpus of Japanese blogs (Sharoff, 2008). Any
 261 gaps in the data were filled with words of comparable frequency based on search hits in Google
 262 Japan (10 million to 250 million). Since high vowel devoicing typically occurs in unaccented
 263 syllables, an accent dictionary of standard Japanese (Kindaichi, 1995) was used as reference to
 264 ensure that none of the stimuli had a target vowel in an accented syllable.

265 The stimuli were divided into *low predictability* and *high predictability* groups as discussed
 266 above. Since only high vowels are systematically targeted for devoicing and recovery,
 267 predictability refers specifically to the predictability of backness of high vowels. Examples of
 268 devoicing stimuli are shown in Table II below.

TABLE II: Example of devoicing stimuli by C_1 and vowel.

<i>stimulus type</i>	C_1	V	<i>example</i>	<i>gloss</i>
low predictability	k	i	kikai	‘chance’
		u	kuki	‘stalk’
	ʃ	i	ʃitagi	‘underwear’
		u	ʃutoken	‘capital area’
high predictability	ʧ	i	ʧikʲu:	‘earth’
	s	u	sukui	‘help’
	ϕ	u	ϕuko:	‘unhappiness’
	ç	i	çite:	‘denial’

269 As shown above, for the low predictability group, C_1 was either /k, ʃ/ after which both /i, u/ can

270 occur. For the high predictability group, C₁ was one of /tʃ, s, ʃ, ç/, after which only one of the
271 high vowels is likely. The two groups were further divided into *devoicing* and *voicing* contexts.
272 The difference between devoicing and voicing tokens was that C₂ was always a voiceless stop for
273 devoicing contexts as shown above, but a voiced stop for voicing tokens. Since high vowel
274 devoicing typically requires the target vowel to be flanked by two voiceless obstruents, it was
275 expected that devoicing would not occur in the voicing contexts. The C₁ and C₂ combinations
276 resulted in fricative-stop, affricate-stop, or stop-stop contexts. These contexts were chosen for two
277 reasons: (i) these are contexts in which the loss of phonation in high vowels is reported to occur
278 systematically and categorically (Fujimoto, 2015), and (ii) the C₂ stop closure clearly marks
279 where the previous segment ends. There were 10 tokens per C₁V combination within each
280 context, for a total of 160 tokens (80 devoicing and 80 voicing).²

281 C. Design and procedure

282 All tokens were placed in the context of unique and meaningful carrier sentences of varying
283 lengths. Most carrier sentences were part of a larger story, and thus no two carrier sentences were
284 identical. All carrier sentences contained at least one stimulus item, and the sentences were
285 constructed so that no major phrasal boundaries immediately preceded or followed the syllable
286 containing the target vowel. An example carrier sentence, which was actually uttered by a
287 weather forecaster in Japan, is given below with glosses.

288 (2) manatsu-no ʃigaisen-ni-wa ki-o-tsuke-mafo:
midsummer's ultraviolet rays-DAT-TOP be careful.VOL
289 'Let's be careful of midsummer's ultraviolet rays'

290 DAT = dative; TOP = topic; VOL = volition

291 The carrier sentences were presented one at a time to the participants on a computer monitor
292 as a slideshow presentation. The participants advanced the slideshow manually, giving the
293 participants time to familiarize themselves with the sentences. They were also allowed to take as
294 many breaks as they thought was necessary during the recording. All instructions were given in
295 Japanese, and participants were prompted to repeat any sentences that were produced disfluently.

296 All participants were recorded in a sound-attenuated booth with an Audio-Technica ATM98
297 microphone attached to a Marantz PMD-670 digital recorder at a sampling rate of 44.1 kHz at a
298 16 bit quantization level. The microphone was secured on a table-top stand, placed 3-5 inches
299 from the mouth of the participant.

300 **D. Data Analysis**

301 Once the participants were recorded, the waveform and spectrogram of each participant
302 were examined in Praat to (a) code each token for devoicing, (b) to measure the duration of C_1
303 and the following vowel, and (c) to measure the center of gravity of C_1 burst/frication noise. The
304 spectrogram settings were as follows: pre-emphasis was set at +6 dB, dynamic range was set at
305 60 dB, and autoscaling was turned off for consistency of visual detail. Because visual inspection
306 alone is an inadequate method for determining the presence of vowel coarticulation on C_1
307 (Beckman and Shoji, 1984), tokens were simply coded for “devoicing”, a term used to
308 collectively refer to unphonating and deletion of the vowel. The criteria used for devoicing status
309 are described in the following section.

310 **1. Devoicing analysis**

311 Vowels in devoicing environments were coded as voiced if there was phonation
312 accompanied by formant structures between C_1 and C_2 . Vowels were coded as devoiced when
313 there was no phonation between C_1 and C_2 . Below in Figure 1 are examples from the same female
314 speaker. On the left is a voiced vowel in the word [kuki] ‘stalk’, which shows clear phonation and
315 formant structures between C_1 and C_2 . On the right is a devoiced vowel in the word [kuten]
316 ‘period’, where there is neither phonation nor formant structures between C_1 and C_2 .

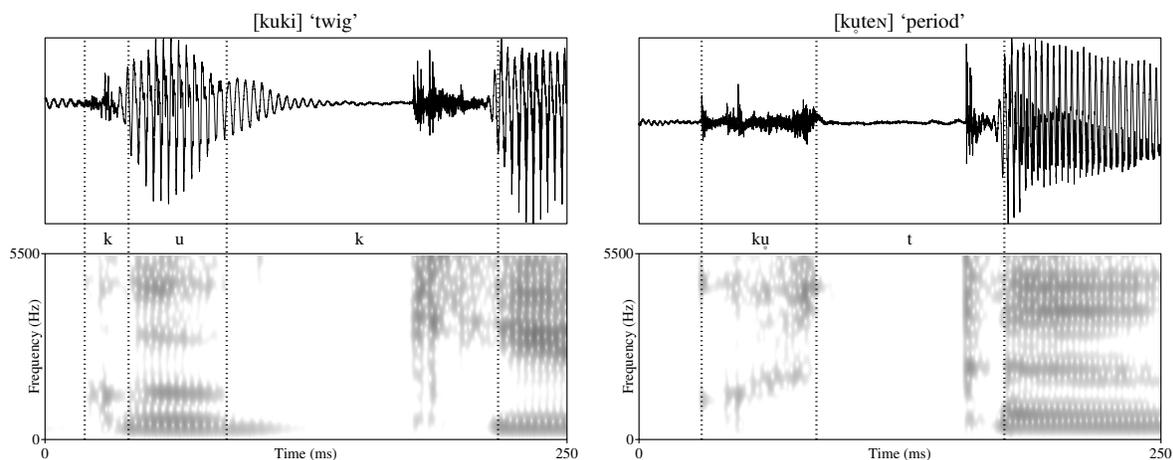


FIG 1: Waveform and spectrogram of voiced (left) and devoiced (right) vowels in devoicing environments, showing landmarks for C_1 , vowel, and C_2 duration.

317 The coding criteria were similar for voicing tokens. Vowels were coded as voiced if
 318 phonation and formant structure were both present between C_1 and C_2 . Otherwise, vowels were
 319 coded as devoiced. Below in Figure 2 are examples from another female speaker. On the left is a
 320 voiced vowel in the word [ʃuge:] ‘handicraft’, where there is a clear formant structure
 321 accompanying phonation. On the right is a rare case of a devoiced vowel in a voicing word
 322 [ʃudaika] ‘theme song’, where there is low frequency pre-voicing preceding C_2 but no formant
 323 structure.

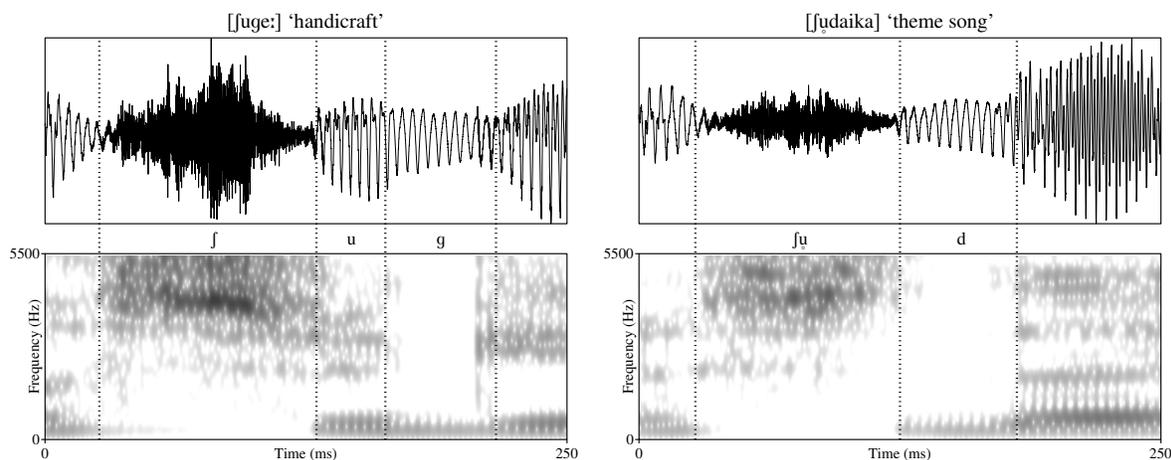


FIG 2: Waveform and spectrogram of voiced (left) and devoiced (right) vowels in voicing environments, showing landmarks for C_1 , vowel, and C_2 duration.

2. Duration analysis

Once all tokens were coded for devoicing status, duration measurements were taken to investigate how devoicing affects the gestural timing of C_1 and the target high vowel. For [k] and [tʃ], duration measurements excluded the silence from closure. For [k], measurements included only the aperiodic burst energy, and for [tʃ], the burst and frication noise. For fricative C_1 , duration measurements included the entire aperiodic frication noise. For tokens coded as devoiced, C_1 measurements were assumed to include the devoiced vowel because the vowel could not be isolated from C_1 reliably. For voiced tokens, C_1 was measured from the onset of burst/frication noise to the onset of vowel F2. For both duration and center of gravity analyses, only devoiced tokens in devoicing environments and voiced tokens in voicing environments were included.

3. Center of gravity analysis

Center of gravity (COG), which is the amplitude weighted mean of frequencies present in the signal (Forrest et al., 1988), was also calculated for C_1 to investigate the presence of coarticulation between C_1 and the target vowel. COG measurements are used based on Tsuchida (1994), who found that Japanese listeners rely primarily on C_1 centroid frequency (i.e., COG) to identify devoiced vowels. COG measurements are known to be particularly sensitive to changes

340 in the front oral cavity (Nitttrouer et al., 1989), so the effects of coarticulation between a vowel
341 and C_1 on COG values are expected to differ by the backness and roundedness of the vowel as
342 well as C_1 place of articulation. The predicted effects of vowel coarticulation on each C_1 are
343 discussed in detail in Section III. C together with the results.

344 Before measuring COG values, the sound files were high pass filtered at 400 Hz to mitigate
345 the effects of f_0 on the burst/frication noise. The filtered sound files were then down-sampled to
346 22,000 Hz. The COG values measured therefore were taken from FFT spectra in the band of 400
347 to 11,000 Hz (Forrest et al., 1988; Hamann and Sennema, 2005). With the exception of /k/, two
348 center of gravity (COG) measurements were taken from 20 ms windows for each C_1 : one starting
349 10 ms after the beginning of C_1 burst/frication (COG1) and one ending 10 ms before the end of
350 C_1 burst/frication (COG2). The 10 ms buffers were used to mitigate the coarticulatory effects of
351 segments immediately adjacent to C_1 . For /k/, COG measurements were taken from a single 20
352 ms window at the midpoint of the burst. Two COG measurements could not be taken from /k/
353 because /k/ durations in voiced tokens were too short for two measurements. /k/ tokens shorter
354 than 20 ms were excluded from analysis, which resulted in the loss of five tokens, or 0.6% of the
355 /k/ data. Since the vocalic gesture of the following vowel most likely begins during the stop
356 closure for /k/ (Browman and Goldstein, 1992; Fowler and Saltzman, 1993), the single COG
357 measurement is assumed to be equivalent to the COG2 measurements of other consonants. Voiced
358 tokens provide the baseline C_1V coarticulation, and comparing the COG1 and COG2 values of
359 devoiced tokens to those of voiced tokens allows for testing of whether coarticulatory effects that
360 are comparable to voiced tokens are present in devoiced tokens at the beginning and end of C_1 .

361 **III. RESULTS**

362 Statistical analyses were performed by fitting linear mixed effects models using the *lme4*
363 package (Bates et al., 2015) for R (R Core Team, 2017). In order to identify the maximal random
364 effects structure justified by the data, a model with a full fixed effects structure (i.e., with
365 interactions for all the fixed effects) and the most complex random effects structure was fit first
366 (Barr et al., 2013). If the model did not converge, the random effects structure was simplified until

367 convergence was reached while keeping the fixed effects constant. The simplest random effects
 368 structure considered was one with random intercepts for participant and word with no random
 369 slopes.

370 Once the maximal random effects structure was identified, a Chi-square test of the log
 371 likelihood ratios was performed to identify the best combination of fixed effects. A complex
 372 model with all interaction terms was fit first, which was then gradually simplified by removing
 373 predictors that did not significantly improve the fit of the model, starting with interaction terms.
 374 The simplest model considered was a model with no fixed effects and only an intercept term.

375 A. Devoicing rate

376 Devoicing rates were at or near 100% in environments where devoicing was expected,
 377 which confirms that loss of phonation in these contexts is phonological. Devoicing rates were less
 378 than 25% in environments where devoicing was not expected. This is shown in Table III below.

TABLE III: Devoicing rate by C_1V and context.

<i>stimulus type</i>	C_1	V	<i>devoicing</i>	<i>voicing</i>
low predictability	k	i	1.000	0.077
		u	0.959	0.032
	ʃ	i	1.000	0.086
		u	0.973	0.073
high predictability	tʃ	i	1.000	0.191
		ɨ	1.000	0.015
	ϕ	u	1.000	0.042
		s	1.000	0.214
<i>overall</i>			0.992	0.091

379 A mixed logit model was fit using the *glmer()* function of the *lme4* package for the overall
 380 devoicing rate with context, predictability, gender, and their interactions as predictors. Vowel was
 381 not included as a predictor because it is redundant for high predictability tokens since only one
 382 vowel is allowed. Random intercepts for participant and word were added to the model.
 383 By-participant random slopes for context and predictability as well as by-word random slopes for
 384 gender were also included in the model. The final model retained the full random effects

385 structure. The following predictors were removed from the fixed effects structure of the final
386 model as they were not significant contributors to the fit of the model: three-way interaction ($p =$
387 0.999), context:gender interaction ($p = 0.902$), and predictability:gender interaction ($p = 0.062$).

388 The function for the final model, therefore, was as follows:

```
389 model = glmer(devoicing ~ context + predictability + gender + context:predictability + (1 +  
390 context + predictability | participant) + (1 + gender | word), family = binomial(link =  
391 'logit'), data = non-loanwords)
```

392 The results of the final model showed that the difference in devoicing rates between
393 devoicing and voicing contexts was significant ($p < 0.001$) and that men were more likely to
394 devoice than women ($p = 0.018$). Predictability and the context:predictability interaction did not
395 have significant effects ($p = 0.237$ and 0.724 , respectively).

396 An additional analysis was performed on just the voicing subset of the data because vowels
397 in devoicing contexts devoiced essentially 100% of the time and had no between-participant
398 differences to test statistically. First, a mixed logit model was fit to the low predictability voicing
399 tokens with gender, C_1 , vowel, and their interactions as predictors. Random intercepts for
400 participant and word were included in the model. By-participant random slopes for C_1 and vowel,
401 and by-word random slopes for gender were also included. /f/ tokens as produced by female
402 participants were the baseline. However, none of the predictors were significant contributors to
403 the fit of the model, and a Chi-square test showed the fit of the intercept-only model was not
404 significantly different from more complex models. In other words, /k, f/ had similar devoicing
405 rates in voicing contexts regardless of vowel or gender.

406 Second, a mixed logit model was fit to the high predictability voicing tokens with gender,
407 C_1 , and their interaction as predictors. Random intercepts for participant and word were included
408 in the model. By-participant random slopes for C_1 and by-word random slopes for gender were
409 also included. The interaction term was not a significant contributor to the model ($p = 0.078$), and
410 thus was removed from the final model. /tʃ/ tokens as produced by female participants were the
411 baseline. The results showed that male participants were more likely to devoice than women ($p =$

412 0.012). C_1 did not have a significant effect ($p = 0.171, 0.092, \text{ and } 0.517$ for / ϕ , ζ , s / respectively).
413 The separate analyses of voicing tokens suggest that male participants devoiced more in
414 high-predictability environments, where devoicing is not actually phonologically conditioned
415 (e.g., / ϕ ugou:ri/ \rightarrow [ϕ ugou:ri] ‘unreasonable’).

416 **B. Duration**

417 Previous studies that report lengthening effects of devoicing on C_1 generally have focused
418 on / k , t / (Varden, 1998), while studies that report a lack of such effect focused on / s , f / (Beckman
419 and Shoji, 1984; Vance, 2008). There are two confounded differences between / k , t / and / s , f / that
420 may be contributing to the contrary results: manner and inherent duration. / k , t / are
421 non-continuants while / s , f / are continuants, but it is also the case that / k / burst and / t /
422 burst/frication are inherently much shorter than the frication noise of / s , f /. This means that the
423 contrary results could be due to either or both of these differences. / ϕ , ζ / are therefore crucial in
424 teasing apart the two factors because / ϕ , ζ / are fricatives but are also similar in duration to the
425 frication portion of / t / in Japanese.³

426 Duration results are shown in Figure 3 below. The results suggest that overall C_1
427 burst/frication durations are not different between women and men. Devoicing has a lengthening
428 effect only on non-fricative obstruents (i.e., / ki , ku , t ji/). For fricatives, devoicing has either no
429 effect (i.e., / ϕ u/) or a shortening effect (i.e., / ζ i, su , f u, f i/).

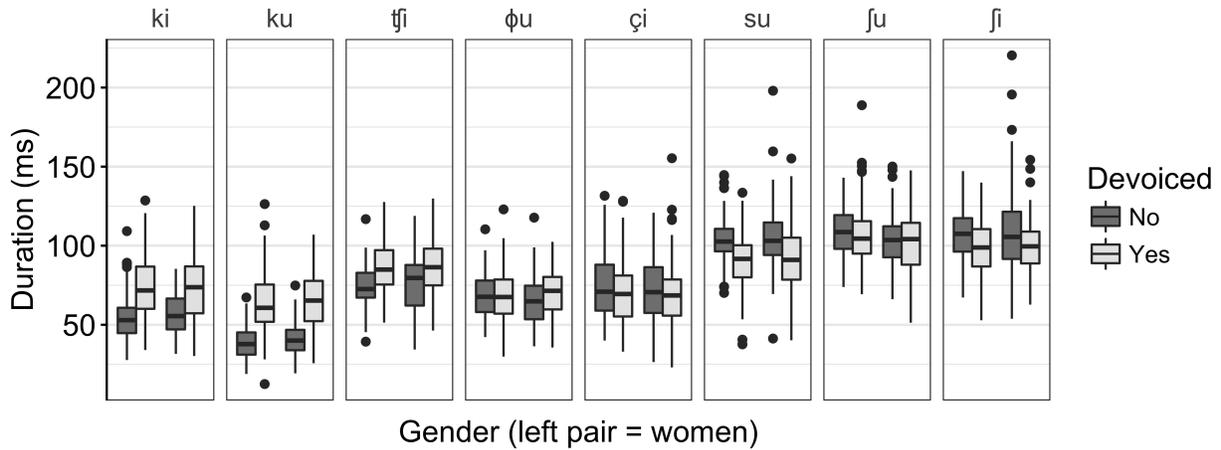


FIG 3: C_1 duration in ms by C_1V , gender, and devoicing.

430 A linear mixed effects regression model was fit to the overall duration results with
 431 devoicing, gender, C_1 , and their interactions as predictors. Again, vowel was not included as a
 432 predictor because it is only meaningful for /k, ʃ/ tokens. Random intercepts for participant and
 433 word were added to the model. By-participant random slopes for context and C_1 were also
 434 included in the model, as well as by-word random slopes for gender. p -values were calculated by
 435 using the *lmerTest* package (Kuznetsova et al., 2017) for R.

436 The final model retained the full random effects structure. The following non-significant
 437 predictors were removed from the final model: three-way interaction ($p = 0.304$), devoiced:gender
 438 interaction ($p = 0.927$), gender: C_1 interaction ($p = 0.608$), and gender ($p = 0.580$). The final
 439 model therefore retained devoicing, C_1 , and their interaction as predictors. The function for the
 440 final model was as follows:

441 $model = lmer(duration \sim context * C1 + (1 + context + C1 | participant) + (1 + gender |$
 442 $word), control=lmerControl(optimizer="bobyqa"), REML = F, data = non-loanwords)$

443 The final model's results are summarized below in Table IV. Voiced /k/ tokens are the baseline.

TABLE IV: Linear mixed effects regression model results for overall C₁ duration.

	ms	S.E.	<i>t</i>	
(Intercept)	47.365	2.264	20.917	***
devoiced	22.068	3.106	7.106	***
ϕ	20.464	3.516	5.819	***
ç	26.808	3.746	7.156	***
tʃ	27.399	3.634	7.539	***
s	55.317	3.751	14.749	***
ʃ	59.454	3.155	18.844	***
devoiced:ϕ	-20.396	4.877	-4.182	***
devoiced:ç	-25.340	4.964	-5.105	***
devoiced:tʃ	-10.514	4.895	-2.148	*
devoiced:s	-33.451	4.903	-6.823	***
devoiced:ʃ	-27.009	3.983	-6.781	***

*** $p < 0.001$, ** $p < 0.01$, * $p < 0.05$, · $p < 0.1$

444 The results show that devoicing indeed has a lengthening effect of 22 ms on /k/. The intercept
 445 estimates for C₁ predictors show that all other C₁ are significantly longer than the /k/ baseline.
 446 The negative values of the estimates for the devoiced:C₁ interaction predictors also show that
 447 devoicing has a smaller lengthening effect on all other C₁ relative to the /k/ baseline.

448 The model above only shows how other C₁ differ from /k/. In order to explore whether
 449 devoicing actually had significant effects on individual C₁, differences of least squares means
 450 were calculated from the final model using the *diffsmeans()* function of the *lmerTest* package
 451 (Kuznetsova et al., 2017). The results showed that devoicing had a significant lengthening effect
 452 on /tʃ/ (11.6 ms, $p = 0.007$). The fricatives on the other hand showed varying effects. Devoicing
 453 had a non-significant lengthening effect of 1.7 ms on /ϕ/ ($p = 0.691$) and non-significant
 454 shortening effects of 3.3 ms on /ç/ ($p = 0.447$) and 4.9 ms on /ʃ/ ($p = 0.114$). However, devoicing
 455 had a significant shortening effect of 11.4 ms on /s/ ($p = 0.008$).

456 A separate linear mixed effects regression model was fit to low predictability tokens (i.e., /k,
 457 ʃ/) to investigate the effects of vowel type. Since the overall model above already showed that
 458 devoicing had a lengthening effect on /k/, the baseline was set to /ʃ/. Devoicing status, C₁, vowel
 459 type, and their interactions were included as predictors. Random intercepts by participant and
 460 word were included. By-participant random slopes for devoicing, C₁, and vowel type were also

461 included, as well as by-word random slopes for gender. The final model retained the full random
462 effects structure. The three-way interaction term and devoicing:vowel interaction were not
463 significant contributors to the model ($p = 0.755$ and 0.126 , respectively) and were removed from
464 the fixed effects structure of the final model.

465 The results of the final model showed that although devoicing had a slight shortening effect
466 of 5 ms and the vowel /u/ had a slight lengthening effect of 3 ms on /j/, neither was significant (p
467 = 0.131 and 0.285 , respectively). Also, as was shown in the overall model above, devoicing had a
468 significant lengthening effect of 22 ms on /k/ ($p < 0.001$).

469 **C. Center of gravity (COG)**

470 As discussed in Section II. D. 3, two COG values were measured for each C_1 using a 20 ms
471 window, one beginning 10 ms after the start of C_1 (COG1), and one ending 10 ms before the end
472 of C_1 (COG2). /k/ tokens were the exception, where only one COG value was measured using a
473 20 ms window centered at the middle of the burst, because /k/ bursts were too short. The single
474 COG measurement of /k/ is considered to be equivalent to the COG2 measurements of other
475 consonants for the purposes of statistical analysis, since it measures the end of the segment.

476 COG is sensitive primarily to changes in the front cavity (Nittrouer et al., 1989) but also
477 constriction strength (Hamann and Sennema, 2005; Kiss and Bárkányi, 2006). In general, C_1V
478 coarticulation is expected to lower the COG of C_1 but for different reasons. Although the high
479 back vowel of Japanese has traditionally been regarded as unrounded (i.e., [ɯ]), a recent
480 articulatory study by Nogita et al. (2013) showed that the high back vowel is actually closer to a
481 rounded high central vowel [ɯ] in younger speakers. So for /j/, /u/ coarticulation is expected to
482 result in lower COG than /i/ coarticulation due to lip rounding, which would increase the size of
483 the front oral cavity. /i/ coarticulation is also expected to lower COG, as the tongue shifts back
484 towards the palate. The effects of coarticulation for /tʃ/ should be similar to /ji/, where lingual
485 movement towards the palate for /i/ would increase the front cavity size and lower COG. For /s/,
486 coarticulation with /u/ should lead to lower COG as a result of lip protrusion and the tongue
487 shifting back. Because /ɸ, ç/ are essentially identical in place with the vowels that can devoice

488 after them, changes in COG are expected to come primarily from constriction strength rather than
489 change in the length of the front oral cavity⁴, where weakening constriction lowers the amplitude
490 of the higher frequencies and results in a lower COG value overall (Hamann and Sennema, 2005;
491 Kiss and Bárkányi, 2006). In other words, for /ϕ/, coarticulation with /u/ would result in more lip
492 rounding and weaker constriction, both contributing to lower COG values. For /ç/, coarticulation
493 with /i/ would make the fricative more vowel-like with a weaker constriction, also resulting in
494 lower COG values.

495 Given the expected lowering effect of C₁V coarticulation overall, there are three possible
496 effects of devoicing. First, if a devoiced vowel is simply unphonated, where only phonation is lost
497 and the oral gestures associated with the vowel are retained, devoiced tokens should show similar
498 COG values as voiced tokens. Second, devoicing may show increased coarticulation between C₁
499 and the target vowel to aid the perceptibility of the target vowel, resulting in lower COG values
500 for devoiced tokens than for voiced tokens (Tsuchida, 1994). Third, the vowel could delete as a
501 consequence of devoicing, and since there is no intervening vowel target, this would allow
502 coarticulation with the following consonant (Shaw and Kawahara, 2018; Tsuchida, 1994), which
503 would be most apparent towards the end of C₁ (i.e., COG2). Since COG is affected by the size of
504 the front oral cavity and constriction strength, the effects of deletion would depend on the place of
505 C₂, which was either /k, t/ for devoicing tokens. Generally, for alveolar and alveopalatal C₁ (i.e.,
506 /s/ and /ʃ, tʃ/), coarticulation with /t/ would lead to higher COG values as the tongue shifts forward
507 and constriction strength increases, while coarticulation with /k/ would lead to lower COG values
508 as the tongue shifts back towards the palate. For /ç, k/, coarticulation with either C₂ would raise
509 COG – /t/ due to tongue shifting forward and /k/ due to strengthening constriction. For /ϕ/,
510 devoicing is expected to raise COG2 due to stronger labial constriction, unaffected by C₂ place.
511 Since C₁k coarticulation can sometimes lower COG much like C₁V coarticulation, the COG
512 analyses below focus on stimuli with alveolar C₂, so that C₁V coarticulation, which would lower
513 COG, and C₁t coarticulation, which would raise COG, can be easily distinguished.

514 **1. COG1 results and analysis**

515 A summary table for all COG results is provided in Section IV (Table VII). Shown below in
 516 Figure 4 are COG1 results. C_1 /k/ is excluded, since there is only one COG measure for the
 517 consonant, which is regarded as equivalent to COG2 of other C_1 . The figure suggests that
 518 devoicing has a lowering effect on COG1 for both /ʃi, ʃu/ for women but only for /ʃi/ for men.
 519 Devoicing also seems to have a raising effect for /çi, øu/. /tʃ, s/ do not show any effect of
 520 devoicing.

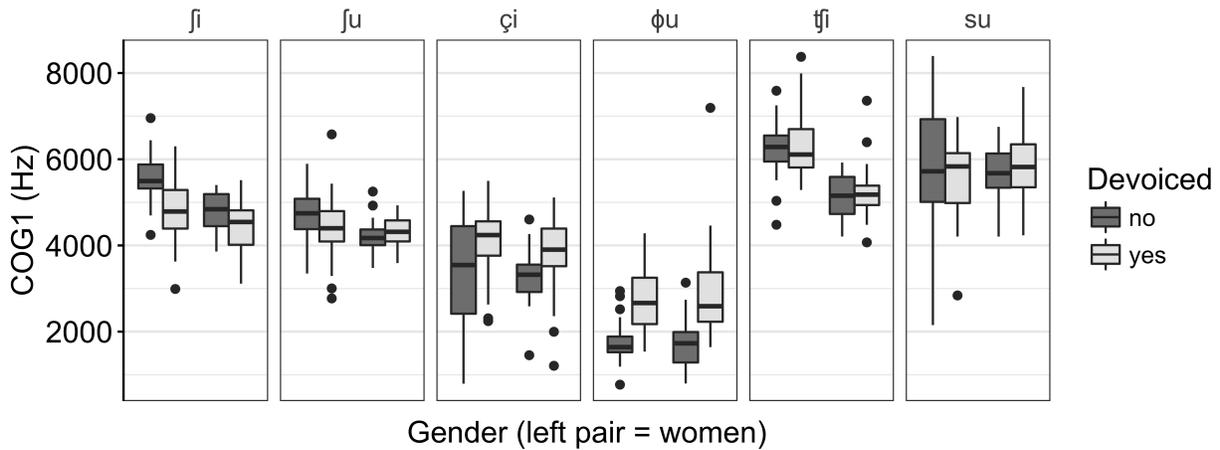


FIG 4: COG1 in Hz by C_1V , gender, and devoicing.

521 A model with the following structure was fit initially to the data:

522 $model = lmer(COG1/2 \sim devoicing * C_1 * gender + (1 + devoicing | participant) + (1 +$
 523 $gender | word), control=lmerControl(optimizer="bobyqa"), REML = F, data = alveolar C_2)$

524 As was the case for duration analyses, vowel was not included as a predictor since it is only
 525 relevant for /ʃ, k/. The final model excluded the following non-significant predictors: three-way
 526 interaction ($p = 0.243$) and devoicing:gender ($p = 0.163$). The results of the final model are
 527 presented in Table V below. Voiced /øu/ tokens as produced by female speakers are the baseline.

TABLE V: Linear mixed effects regression results: COG1 (excludes C₁ /k/).

	Hz	S.E.	<i>t</i>	
(Intercept)	1770	186.90	9.473	***
devoiced	1153	203.23	5.672	***
male	-54	191.55	-0.283	
ç	1567	257.86	6.075	***
s	4027	234.85	17.148	***
tʃ	4376	232.94	18.788	***
ʃ	3154	201.57	15.647	***
devoiced:ç	-458	293.70	-1.560	
devoiced:s	-1165	307.51	-3.790	***
devoiced:tʃ	-998	275.69	-3.621	***
devoiced:ʃ	-1314	247.63	-5.308	***
male:ç	-138	180.32	-0.764	
male:s	-2	180.95	-0.012	
male:tʃ	-1036	184.14	-5.625	***
male:ʃ	-391	146.37	-2.673	**

****p* < 0.001, ***p* < 0.01, **p* < 0.05, ·*p* < 0.1

528 The results show that for /ϕ/, devoicing has a significant raising effect for both men and
529 women. Since the model above only shows how other C₁ compare to /ϕ/, differences of least
530 squares means were calculated for a more detailed investigation into the other consonants. For /ç/,
531 devoicing was also shown to have a significant raising effect of 695 Hz (*p* = 0.002), and there
532 were no gender effects. For /s/, neither devoicing nor gender had a significant effect. For /tʃ/,
533 devoicing had no significant effect but male speakers had significantly lower COG1 (-1090 Hz; *p*
534 < 0.001). The overall model showed that male speakers also had lower COG1 for /ʃ/, but since
535 the results collapse the two possible vowels after /ʃ/, a separate model was fit to test for
536 vowel-specific effects.

537 The initial model for /ʃ/ tokens was as follows:

538 *model = lmer(COG1 ~ devoicing * vowel * gender + (1 + devoicing * vowel | participant) +*
539 *(1 + gender | word), control = lmerControl(optimizer = 'bobyqa'), REML = F, data =*
540 *alveolar C₂)*

541 The final model retained the full random effect structure, but excluded the three-way (*p* = 0.883)

542 and vowel:gender ($p = 0.089$) interaction terms from its fixed effects structure. Male speakers
 543 were shown to have lower COG1 by 644 Hz ($p < 0.001$). The model also showed that /u/ had
 544 significant lowering effects of 687 Hz on voiced tokens ($p < 0.001$) and 289 Hz on devoiced
 545 tokens ($p = 0.035$), suggesting that coarticulation with /u/ is evident from the very beginning of
 546 the consonant, making the contrast between /ji/ and /ju/ more salient. Additionally, devoicing had
 547 a significant lowering effect of 531 Hz on /ji/ tokens produced by female speakers ($p = 0.001$),
 548 which suggests that there is an effort to make the identity of the devoiced /i/ vowel perceptually
 549 more salient by increasing the CV overlap. However, the lowering effect was not significant for
 550 /ju/ tokens (-132 Hz; $p = 0.233$), and male speakers showed no effect of devoicing (-96 Hz; $p =$
 551 0.379).

552 **2. COG2 results and analysis**

553 COG2 results are shown in Figure 5 below, where devoicing seems to have a raising effect
 554 on the COG2 of all consonants.

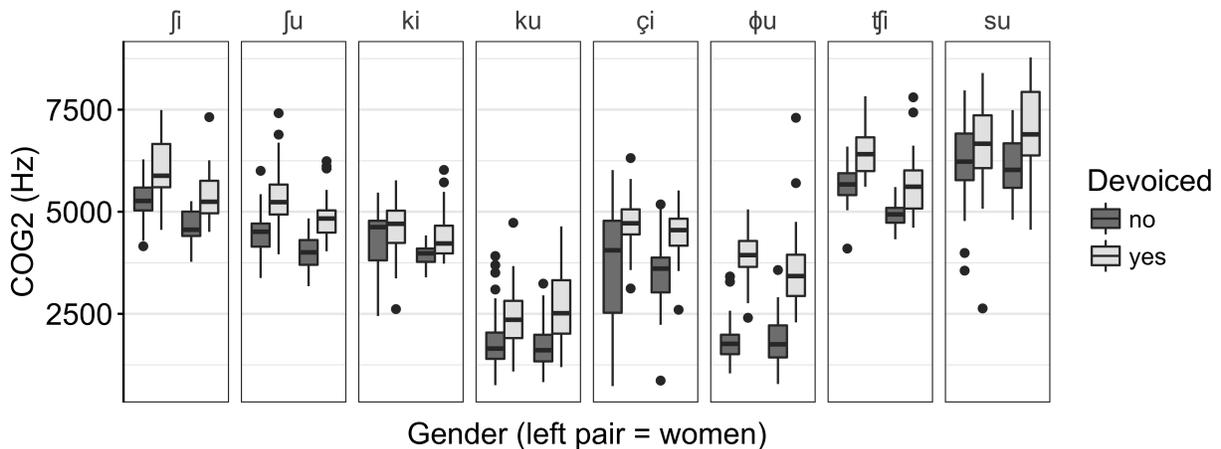


FIG 5: COG2 in Hz by C₁V, gender, and devoicing.

555 The same full linear mixed effects regression model used for COG1 was fit to COG2
 556 initially. The final model excluded the three way ($p = 0.151$), devoicing:gender ($p = 0.398$), and
 557 devoicing:C₁ ($p = 0.358$) interaction terms. The results of the model are presented in Table VI
 558 below. Voiced /φu/ tokens as produced by female speakers are the baseline.

TABLE VI: Linear mixed effects regression results: COG2 (all C₁).

	Hz	S.E.	<i>t</i>	
(Intercept)	2427	263.69	9.205	***
devoiced	1031	143.44	7.186	***
male	-230	156.34	-1.470	
ç	1217	341.30	3.567	***
s	3591	366.34	9.802	***
tʃ	3029	334.35	9.059	***
ʃ	2322	301.80	7.695	***
k	-52	292.87	-0.176	
male:ç	-31	167.53	-0.186	
male:s	305	182.78	1.671	·
male:tʃ	-540	171.63	-3.144	**
male:ʃ	-348	143.88	-2.416	*
male:k	177	136.85	1.297	

*** $p < 0.001$, ** $p < 0.01$, * $p < 0.05$, · $p < 0.1$

559 COG2 results largely mirror those of COG1, where devoicing has a raising effect for /ϕ/,
 560 and male speakers have significantly lower COG values for /ʃ, tʃ/. The fact that devoicing:C₁
 561 interaction is not a significant predictor means that the raising effect of devoicing is evident across
 562 all C₁.

563 COG1 analysis showed that devoicing had a lowering effect on /ʃ/, although the effect was
 564 significant only in /ʃi/ tokens produced by female speakers. The general model above, however,
 565 suggests that devoicing could have a raising effect on COG2 instead, perhaps due to
 566 coarticulation with C₂ (Tsuchida, 1994). A separate model was fit to /ʃ/ tokens to test for effects
 567 of vowel type on COG2. The full model had the same structure as the model fit to COG1 data,
 568 and the final model for /ʃ/ COG2 retained the full random effects structure but only devoicing,
 569 vowel, and gender as predictors. Three-way interaction ($p = 0.399$), gender:vowel ($p = 0.939$),
 570 devoicing:vowel ($p = 0.710$), and devoicing:gender ($p = 0.145$) were non-significant predictors
 571 and removed from the final model. /u/ had a significant lowering effect of 680 Hz ($p < 0.001$)
 572 showing that the lowering effect observed in COG1 is retained throughout the consonant. Male
 573 speakers were also shown to have lower COG2 by 542 Hz ($p = 0.001$). Devoicing had a
 574 significant raising effect of 807 Hz ($p < 0.001$), suggesting coarticulation with C₂.

575 A separate model was also fit to /k/ tokens to test for vowel effects. The final model for /k/
576 retained the full random effects structure and only vowel and devoicing as predictors. Three-way
577 interaction ($p = 0.491$), vowel:devoicing ($p = 0.195$), devoicing:gender ($p = 0.157$), vowel:gender
578 ($p = 0.241$), and gender ($p = 0.775$) were non-significant predictors and removed from the final
579 model. /u/ had a lowering effect of 2166 Hz ($p < 0.001$) and devoicing had a raising effect of 560
580 Hz ($p < 0.001$).

581 **IV. DISCUSSION**

582 The aim of this study was to investigate the acoustic properties of high vowel devoicing in
583 Japanese—specifically, what cues in the signal allow the recovery of a devoiced vowel and
584 whether gender and phonotactic predictability affect the availability of these cues. The cues
585 specifically tested for were coarticulatory effects of the target vowel on C_1 , measured in the form
586 of burst/frication duration and center of gravity (COG) of C_1 .

587 Gender did not seem to have an effect on the acoustic results other than men having lower
588 COG measurements for some consonants, which is expected given vocal tract length differences.
589 However, male participants were shown to devoice more than the female participants, which
590 confirms what Imai (2010) also found in younger speakers. What is interesting from the
591 devoicing results, however, is where the observed difference between men and women came
592 from. With tokens in devoicing environments having devoicing rates of essentially 100%, the
593 difference in devoicing rates was clearly from the voicing tokens. An analysis of just the voicing
594 tokens showed that devoicing rates were significantly different for high predictability
595 environments but not low predictability environments. In other words, predictability also seems to
596 affect devoicing rates, although only in men.

597 With respect to the issue of lengthening, duration measurements showed that lengthening is
598 observable only in non-fricatives. Devoicing generally had no effect on fricatives with the
599 exception of /s/, which shortened in devoiced contexts instead. This contrasts with Kondo (1997),
600 who found lengthening effects of devoicing for all consonants. The observed difference is most
601 likely because the current study compares C_1 duration in voicing versus devoicing environments

602 (e.g., /kugi/ vs. /kuki/), whereas Kondo (1997) compares the duration of C₁ from voiced and
603 devoiced instances of the same devoiceable environments (e.g., [kutsufita] vs. [kutsufita]). Kondo
604 was able to do this because the stimuli used contained consecutive devoicing environments, which
605 may have led to different gestural timing patterns.

606 The fact that C₁ lengthening is dependent on the manner of the consonant suggests that it is
607 not an obligatory process whose goal is to maintain mora-timing (Han, 1994). Furthermore, the
608 fact that /tʃ/ lengthened while /ɸ, ç/ did not despite similar durations suggests that C₁ lengthening
609 is not a recoverability-conditioned process, but rather is physiological in nature, where the
610 lengthening observed in stops and affricates is due to the relatively high subglottal pressure
611 compared to fricatives. Previous articulatory studies have found that in devoiced syllables with
612 stop C₁, abduction peaks occur after the stop release (Weitzman et al., 1976) with distinct
613 laryngeal muscular activities associated with the C₁ and the devoiced vowel (Simada et al., 1991,
614 as cited in Kondo, 1997). In devoiced syllables with fricative or affricate C₁, however, the
615 laryngeal activities are indistinguishable between the C₁ and devoiced vowel. Although the
616 affricate [tʃ] was found to pattern with [k] in the current study, the results nevertheless suggest
617 manner-conditioned differences in how high vowels become devoiced.

618 On the other hand, devoiced /s/ tokens showed significant shortening while /ʃ/ did not,
619 despite similar durations of ~100 ms. The reason for shortening in /s/ can be explained in terms
620 of recoverability. Since the devoiced vowel after /s/ is highly predictable, the vowel can be
621 deleted, and /s/ needs only to be long enough to signal the consonant's identity. As for why /ʃ/
622 cannot shorten, COG results must be discussed first, which are summarized below in Table VII.

TABLE VII: Summary of COG results.

		<i>vowel (/u/)</i>	<i>devoicing</i>	<i>gender (male)</i>
ç	COG1	—	raising	n.s.
	COG2	—	raising	n.s.
ϕ	COG1	—	raising	n.s.
	COG2	—	raising	n.s.
s	COG1	—	n.s.	n.s.
	COG2	—	raising	n.s.
tʃ	COG1	—	n.s.	lowering
	COG2	—	raising	lowering
ʃ	COG1	lowering	n.s. (lowering for /ʃi/ in women)	lowering
	COG2	lowering	raising	lowering
k		lowering	raising	n.s.

623 C₁V coarticulation was predicted to lower the COG of C₁, while C₁C₂ coarticulation, where
 624 C₂ is alveolar, was predicted to raise the COG of C₁. Since the vowels in /çi , ϕu/ essentially have
 625 the same places of articulation as the consonants, C₁V coarticulation was expected to lower COG
 626 values for /ç, ϕ/ due to weakening constriction. Devoicing, however, had a raising effect for the
 627 two consonants for both COG1 and COG2. This suggests that vowel gestures were not
 628 maintained as in the case of voiced tokens from the very beginning. Because there is no
 629 intervening vocalic target, constrictions can be made tighter, leading to a rise in COG. Devoiced
 630 vowels, therefore, seem to be deleted in these contexts.

631 /s/ showed only that devoicing has a raising effect on COG2, suggesting coarticulation with
 632 the following C₂. Since devoicing had a raising effect on all C₁, the raising effect alone is not
 633 enough to distinguish between devoiced vowels being unphonated and deleted, but together with
 634 the shortening effect of devoicing on /s/, it seems likely that the vowel is deleted.

635 /tʃ/ results can be compared directly with /ʃi/ results, since the two consonants share a place
 636 of articulation and the vowel that follows. Although the effect was limited to female speakers,
 637 devoicing had a significant lowering effect on /ʃi/ tokens, but not on /tʃ/ tokens. If the lowering
 638 effect of devoicing on /ʃi/ is interpreted to mean increased coarticulation, where the palatal
 639 gesture of the vowel shifts the tongue back and enlarges the front oral cavity, then the lack of a
 640 comparable effect on /tʃ/ suggests that a similar effort is not being made to aid recoverability, at

641 least in the case of female speakers. The acoustic results alone, however, are admittedly unclear,
642 and perhaps an articulatory study would help clarify further whether the vowel is deleted or
643 unphonated after /tʃ/.

644 /f/ results showed both C₁V and C₁C₂ coarticulation. First, /u/ had a lowering effect on both
645 COG1 and COG2, regardless of devoicing status, and although the effect was limited to female
646 speakers, devoiced /ʃi/ tokens also showed a lowering effect. Tsuchida (1994), who analyzed
647 speech recorded from three female speakers also reports a similar lowering effect of devoicing
648 during the first half of /ʃi/. Tsuchida, however, also found devoicing to have a lowering effect on
649 /ʃu/ throughout the entire C₁, which seemed to aid Japanese listeners in identifying the vowel in
650 devoiced tokens even more successfully than in voiced tokens. This further lowering effect of
651 devoicing on /ʃu/ tokens was not found in the current study. One possible explanation for the
652 diverging results is that the analysis window used for COG measurements was longer in the
653 current study (10 ms vs. 20 ms). It also seems likely that the differences are due to changes in the
654 Japanese language itself, where younger speakers produce /u/ with more lip protrusion in general
655 (Nogita et al., 2013), making further protrusion in devoiced /ʃu/ tokens more difficult or
656 unnecessary.

657 Second, devoicing had a raising effect on COG2, suggesting C₁C₂ coarticulation. However,
658 devoiced /ʃu/ tokens were still lower than devoiced /ʃi/ tokens. The persistent effect of /u/
659 suggests that there is an oral vowel gesture (lingual, labial, or both) that lengthens the front oral
660 cavity. However, the raising effect of devoicing suggests that there is a lack of an intervening
661 vocalic gesture that blocks C₁C₂ coarticulation. The two results can be reconciled if the lingual
662 and labial vocalic gestures are thought of independently. Shaw and Kawahara (2018) investigated
663 /u/ devoicing using electromagnetic articulography (EMA) and found that there is often no lingual
664 gesture associated with devoiced vowels, and thus propose that the vowel must be deleting.
665 However, the study did not investigate labial gestures, and as previously mentioned, /u/ is often
666 rounded in young Japanese speakers (Nogita et al., 2013), which means that the labial gesture can
667 be retained while the lingual gesture is lost. The COG results of /ʃ/ suggest that this is indeed

668 what is happening. Devoiced vowels lose their lingual gestures, allowing /f/ to coarticulate with
669 C₂, but /u/ also retains its labial gesture, leading to lower COG values that help distinguish /u/
670 from /i/. The lowering effect of /u/ on /f/ was also reported by Beckman and Shoji (1984) and
671 Tsuchida (1994), and both studies also found that the coarticulatory effect aided identification of
672 the vowel for Japanese listeners. The /f/ results, therefore, suggest that devoiced vowels are
673 neither simply unphonated nor completely deleted, but rather *reduced* in the sense that gestures
674 associated with the vowel are lost incrementally. This retention of vocalic oral gestures also helps
675 explain why /s/ shortened in duration, while /f/ did not despite being similar in length. /s/ does not
676 need to carry coarticulatory information of the following devoiced vowel because the vowel is
677 predictable. /f/, however, cannot shorten because the frication noise must be long enough to carry
678 the coarticulatory cues of the devoiced vowel.

679 Lastly, the single COG measurement for /k/ showed that /u/ had a significant lowering
680 effect, or perhaps more accurately that /i/ had a significant raising effect. The large spectral
681 difference is most likely due to /k/-fronting that results from coarticulation with the following /i/,
682 and positing the presence of coarticulatory effects even in devoiced tokens allows /k/ to be
683 grouped with /f/. However, the large COG difference of ~2200 Hz between the burst noises of
684 /ki/ and /ku/ is nearly three times the differences of ~600–800 Hz observed for /f/ in the current
685 study and nearly six times the 400 Hz spectral difference reported in Beckman and Shoji (1984),
686 differences to which Japanese speakers were shown to be sensitive. Given such a large spectral
687 difference, it seems possible that velar fronting is categorical (i.e., [k^j]) rather than a relative
688 fronting (i.e., [k̟]) as was assumed throughout the current study. It is also possible then, that the
689 spectral difference is not due to coarticulation with the vowels *per se*, but rather because the
690 consonants preceding /i, u/ are simply different phonemes, namely /k^j, k/, respectively (an
691 observation also made in Maekawa and Kikuchi (2005), as made evident by the transcription
692 convention employed). If this is indeed the case, the devoiced vowels after [k^j, k] become highly
693 predictable. A recalculation of entropy and surprisal for /k, k^j/ from the “Core” subset of Corpus
694 of Spontaneous Japanese (Maekawa, 2003) showed that when only high vowels are considered,

695 both entropy and surprisal are zero for /ku/ and near-zero for /k^j/ (entropy = 0.036; surprisal =
696 0.005). While a high back vowel can follow /k^j/, it is almost always the long vowel /u/, which
697 typically does not devoice. Even in the case of loanwords where /k^j/ is followed by /u/, there is
698 generally an alternative pronunciation as simply /kⁱ/, showing again that a short high back vowel
699 is dispreferred after /k^j/ in the language (Shogakukan, 2013). It is admittedly difficult to tell apart
700 based on the single acoustic measurement used in the current study whether the apparent fronting
701 effect is due to C₁V coarticulation or simply due to different C₁, and perhaps an articulatory study
702 looking at the oral gestures during closure would be helpful. Regardless of whether the /k/ results
703 are perceptibility- or predictability-driven, however, both interpretations are compatible with the
704 recoverability-based framework being proposed in this study.

705 **V. CONCLUSION**

706 The results of the current study provide further evidence that Japanese high vowel devoicing
707 can result in complete deletion of the vowel (Pinto, 2015; Shaw and Kawahara, 2018), and the
708 COG results in particular suggest that devoiced vowels are less likely to be deleted completely
709 when they are unpredictable (i.e., after /j/ and perhaps /k/), supporting the results of previous
710 studies which showed that coarticulation between segments are controlled to aid perceptibility
711 (Silverman, 1997; Chitoran et al., 2002). The results also provide novel insight into
712 recoverability-driven coarticulation in that speakers not only retain the perceptibility of a
713 devoiced vowel throughout the consonant when recoverability is in jeopardy (i.e., /j/) but that they
714 also do the opposite, where the vowel is deleted completely because it is highly predictable from
715 the phonotactics (i.e., after /ç, ø/ in particular and possibly /s, tʃ/) and additional coarticulatory
716 cues are unnecessary for recovery.

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722 **FOOTNOTES**

723 ¹Rendaku is a morphophonological process in Japanese compounds, where the initial consonant of the second
724 member of the compound becomes voiced (e.g., |tsuki + tsuki| → /tsukidzuki/ ‘month after month (moon + moon)’).

725 ²See supplementary material at [URL will be inserted by AIP] for a full list of stimuli and carrier sentences.

726 ³An analysis of consonant durations in the Corpus of Spontaneous Japanese revealed that there is no significant
727 duration difference between [tʃ] and [ɸ] in voiced contexts (~65 ms; $p = 0.891$), and between [tʃ] and [ç] in devoiced
728 contexts (~75 ms; $p = 0.475$).

729 ⁴Although, see Kumagai (1999) whose EPG study found that palatal constriction is more fronted before [ɸ] in
730 devoiced syllables.

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